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APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLMS	IND CLMS
10/562,803	06/30/2006	1645	1915	514572001200	76	3

25225  
MORRISON & FOERSTER LLP  
12531 HIGH BLUFF DRIVE  
SUITE 100  
SAN DIEGO, CA 92130-2040

**RECEIVED**

**MAR 19 2007**

MORRISON & FOERSTER  
SAN DIEGO DOCKETING

**CONFIRMATION NO. 5370**

**FILING RECEIPT**



\*OC000000022786094\*

Date Mailed: 03/12/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Huafang Gao, Beijing, CHINA;  
Xuemei Ma, Beijing, CHINA;  
Chi Zhang, Beijing, CHINA;  
Qian Chen, Beijing, CHINA; ← *Yiming Zhou*  
Dong Wang, Beijing, CHINA;  
Yizhe Zhang, Beijing, CHINA;  
Yue Tian, Beijing, CHINA;  
Rui Zhang, Beijing, CHINA;  
Gengxin Lan, Beijing, CHINA;  
Yuxiang Zhou, Beijing, CHINA;  
Jing Cheng, Beijing, CHINA;

DOCKETED: Correct OTR  
REMINDER: 4-12-07  
FINAL DUE DATE:                     

**Power of Attorney:** The patent practitioners associated with Customer Number 25225.

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/CN03/00580 07/18/2003

**Foreign Applications**

CHINA 03148529.4 06/30/2003

If Required, Foreign Filing License Granted: 03/07/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/562,803**

Projected Publication Date: 06/14/2007

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

**Title**

Dna chip based genetic typing

**Preliminary Class**

435

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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PTO/SB/21 (04-07)

Approved for use through 09/30/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number

10/562,803

Filing Date

(Int'l) July 18, 2003

First Named Inventor

Huafang GAO

Art Unit

1645

Examiner Name

Not Yet Assigned

Attorney Docket Number

514572001200

**ENCLOSURES (Check all that apply)**☐ Fee Transmittal Form☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Reply to Missing Parts/  
Incomplete Application☐ Reply to Missing Parts under  
37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ Landscape Table on CD☐ After Allowance Communication  
to TC☐ Appeal Communication to Board of  
Appeals and Interferences☐ Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please  
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Remarks

CUSTOMER NO. 25225

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

MORRISON &amp; FOERSTER LLP

Signature

Printed name

Peng Chen

Date

May 29, 2007

Reg. No.

43,543

I hereby certify that this paper is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 881235677 US, on the date shown below in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: May 29, 2007

Signature: 

(Diane Blevins)

sd-375535

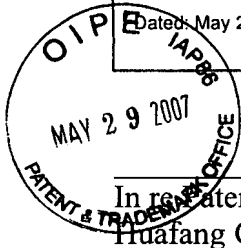
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Dated: May 29, 2007

Signature: \_\_\_\_\_

(Diane Blevins)

Docket No.: 514572001200  
(PATENT)



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Huafang GAO et al.

Application No.: 10/562,803

Confirmation No.: 5370

Filed: (Int'l) July 18, 2003

Art Unit: 1645

For: DNA CHIP BASED GENETIC TYPING

Examiner: Not Yet Assigned

### REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant, a copy of which has been marked-up in red to reflect the correction and is attached hereto, has the following error:

Under Applicant(s) the following name is missing:

1. Yiming Zhou

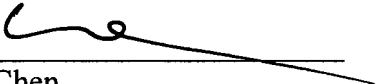
Please place applicant Yiming Zhou after applicant Qian Chen and before applicant Dong Wang.

The published PCT application (WO 2005/001123 A1), the Application Data Sheet filed with the subject application and the Declaration filed in response to the Notice to File Missing Requirements each contained applicant Yiming Zhou.

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

Dated: May 29, 2007

Respectfully submitted,

By   
Peng Chen

Registration No.: 43,543  
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